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PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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		Application Number	10/648,619
		Filing Date	August 25, 2003
TRANSMIT	IAL	First Named Inventor	CLARY, DOUGLAS O.
FORM		Group Art Unit	1642
(to be used for all correspondence	after initial filing)	Examiner Name	
Total Number of Pages in This S	ubmission	Attorney Docket Number	UCAL-305CON4
	ENCLOSUR	ES (check all that apply)	
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Stater Certified Copy of Priority Documents Response to Missing Parts/ Incomplete Application Response to Missing Parts/ under 37 CFR 1.52 or 1	CD, Nu	ing-related Papers  n to Convert to a ional Application of Attorney, Revocation e of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):  1) Request to Correct Official Filing Receipt (1 pg.)  2) Marked-up Filing Receipt (1 pg.)  3) Copy of Express Mail Receipt (1 pg.)  4) Copy of Return Postcard  5) Return Postcard
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Date September 1	3, 2004		·
	CERTIFIC	CATE OF MAILING	
I hereby certify that this correspondence in an envelope addressed to: Commiss 2004.	e is being deposited with	the United States Postal Se	ervice with sufficient postage as first class mail a 22313-1450 on this date: September 13,
Typed or printed name Cindy Kir Signature	Hoand		Date September 13, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450. **Typed or Printed Name** Cindy Kim Hoang Signature Date Attorney Docket UCAL-305CÓN4 REQUEST FOR Applicant/ CLARY, DOUGLAS O. **CORRECTED FILING** Serial Number 10/648,619 RECEIPT Filing Date August 25, 2003 1642 Group Art Unit Address to: **Examiner Name** To Be Assigned Commissioner for Patents Title: "ANTIBODIES THAT MIMIC ACTIONS OF P.O. Box 1450 **NEUROTROPHINS**" Alexandria, VA 22313-1450

Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

(1) Please correct the filing date to read "08/25/2003" as indicated on the application.

On February 12, 2004, a Notice of Omitted Items issued from the Patent and Trademark Office, noting that Figure 4 was omitted. On March 12, 2004, Applicants filed a Preliminary Amendment, which deleted references in the application to Figure 4. No Figure 4 was provided in the parent case, U.S. Serial No. 09/770,949, now U.S. Patent No. 6,656,465. Figure 4 is not required for an understanding of the invention, as the description in the specification is adequate. Thus, the instant application was complete as of the August 25, 2003 filing date. Accordingly, Applicants request that the August 25, 2003 be accorded to the instant application.

If for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815.

By:

Respectfully submitted,

**BOZICEVIC, FIELD & FRANCIS LLP** 

Date:

Sept. 13, 2004

Paula A. Borden

Registration No. 42,344

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Atty/Sec: PAB/CKH Atty Docket No. UCAL-305CON4 Date Mailed: August 25, 2003 Application No.: To Be Assigned Filing Date: August 25, 2003 Inventor(s): CLARY, DOUGLAS O.

Title: "ANTIBODIES THAT MIMIC ACTIONS OF NEUROTROPHINS"

17497 U.S. PTO 10/648619

08/25/03

## Enclosure(s):

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- Utility Patent Application Transmittal (1 pg.)
  Fee Transmittal + Duplicate (2 pgs.)
  Application Data Sheet (2 pgs.)
  Specification, Claims and Abstract (55 pgs.)
  Drawings (4 sheets)
  Revocation of Power of Attorney/ Power of Attorney (1 pg.)
  Declaration (4 pgs.)
  Information Disclosure Statement (1 pg.)
  PTO/SB/08A (6 pgs.)
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FILING OR 371 (c) DATE APPL NO. ART UNIT FIL FEE REC'D ATTY. DOCKET NO DRAWINGS TOT CLMS IND CLMS 10/648,619 3/2004 561 UCAL-305CON4

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BOZICEVIC, FIELD & FRANCIS LLP 200 MIDDLEFIELD RD SUITE 200 MENLO PARK, CA 94025

**CONFIRMATION NO. 4389** 

UPDATED FILING RECEIPT

\*OC000000011969255\*

Date Mailed: 02/26/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

# Applicant(s)

Douglas O. Clary, San Francisco, CA; Gisela Weskamp, New York, NY; LeeAnn R. Austin, San Francisco, CA; Louis F. Reichardt, San Francisco, CA;

## Domestic Priority data as claimed by applicant

This application is a CON of 09/770,949 01/26/2001 PAT 6,656,465 which is a CON of 09/033,313 03/02/1998 ABN which is a CON of 08/466,839 06/06/1995 ABN which is a CON of 08/162,597 12/03/1993 PAT 5,753,225

Foreign Applications

If Required, Foreign Filing License Granted: 11/17/2003

Projected Publication Date: 06/03/2004

Non-Publication Request: No

Early Publication Request: No

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MAR 0 2 2004

Boz/cevic, Field, & Francis



Title

Antibodies that mimic actions of neurotrophins

**Preliminary Class** 

536

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